



Atty. Docket No. HER07 P-441

## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor, if only one name is listed below, or an original, first and joint inventor, if plural names are listed below, of the subject matter which is claimed and for which a patent is sought on the invention entitled **CONDUCTIVE TRANSPARENT LAYERS AND A METHOD FOR THEIR PRODUCTION**, the specification of which was filed on October 11, 2000.

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office (the Office), all information which is known by me to be material to patentability as defined in Title 37, Code of Federal Regulations (C.F.R.), Section 1.56.

### CLAIM OF PRIORITY

I hereby claim foreign benefits under Title 35, United States Code (U.S.C.), Section 119(a)-(d) or § 365(b), of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or of any PCT international application, having a filing date before that of the application on which priority is claimed.

Application Ser. No. 19948839.8 filed in Germany on October 11, 1999

I hereby claim the benefit under 35 U.S.C. § 120, of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the above-identified specification, including claims, discloses and claims subject matter in addition to that disclosed in the prior copending application(s), listed below, I acknowledge the duty to disclose to the Office, all information which is known by me to be material to patentability as defined in 37 C.F.R. § 1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Serial No. {serial no.}, filed on {date}, and now {status}

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Customer Number 000.277

All statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true, and further, these statements are made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of this application or any patent issued thereon.

Sole or First joint inventor:

Johannes Stollenwerk  
Citizenship: Germany  
Residence: Fachhochschule Köln  
Fachbereich Fahrzeugtechnik  
Betzdorfer Strasse 2  
50679 Köln

Post Office: same as above

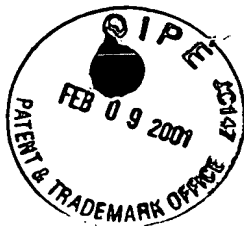
Second joint inventor:

Andreas Klöppel  
Citizenship: Germany  
Residence: Beningsgasse 7, 63695 Glauburg, Germany  
Post Office Address: same as above

Third joint inventor:

---

Marcus Bender	Date
Citizenship: Germany	
Residence: Kastanienweg 13a, 35606 Solms, Germany	
Post Office Address: same as above	



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Second joint inventor:

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Citizenship: Germany  
Residence: Fachhochschule Köln  
Fachbereich Fahrzeugtechnik  
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50679 Köln

Date


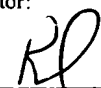
Andreas Klöppel  
Citizenship: Germany  
Residence: Benninggasse 7, 63695 Glauburg, Germany  
Post Office Address: same as above

Date

Page 1 of 2

Post Office: same as above

Third joint inventor:

Marcus Bender

Date

Citizenship: Germany

Residence: ~~Kastanienweg 13a, 35606 Solms, Germany~~ <sup>1)</sup>

Post Office Address: same as above

<sup>1)</sup> Sofokli Venizelu 29, 71202 Heraklion, Greece



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Customer Number 000,277

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Sole or First joint inventor:

Johannes Stollenwerk Jan. 22, 2001  
Johannes Stollenwerk Date  
Citizenship: Germany  
Residence: Fachhochschule Köln  
Fachbereich Fahrzeugtechnik  
Betzdorfer Strasse 2  
50679 Köln

Second joint inventor:

\_\_\_\_\_  
Andreas Klöppel Date  
Citizenship: Germany  
Residence: Benninggasse 7, 63695 Glauburg, Germany  
Post Office Address: same as above

Post Office: same as above

Third joint inventor:

Marcus Bender	Date
Citizenship: Germany	
Residence: Kastanienweg 13a, 35606 Solms, Germany	
Post Office Address: same as above	

Applicant(s) or Patentee(s) : Johannes Stollenwerk et al.  
 Serial or Patent No. :  
 Filed or Issued : October 11, 2000  
 For : CONDUCTIVE TRANSPARENT LAYERS AND A METHOD FOR THEIR PRODUCTION



P73795 05

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
 STATUS (37 CFR §1.9[f] and 1.27[c]) - SMALL BUSINESS CONCERN**

I hereby declare that I am:

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below.

NAME OF CONCERN: BPS Alzenau GmbH  
 ADDRESS OF CONCERN: 63754-Alzenau, Germany

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR §121.3-18, and reproduced in 37 CFR §1.9(d), for purposes of paying reduced fees under sections 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled CONDUCTIVE TRANSPARENT LAYERS AND A METHOD FOR THEIR PRODUCTION by inventors Johannes Stollenwerk, Andreas Klöppel and Marcus Bender described in:

- ☐ the specification filed herewith.  
☒ application Serial No. 09/686,417, filed October 11, 2000.  
☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR §1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR §1.9(d) or a nonprofit organization under 37 CFR §1.9(e). \*NOTE: Separate Verified Statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR §1.27).

NAME \_\_\_\_\_  
 ADDRESS \_\_\_\_\_  
 INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON-PROFIT ORGANIZATION ☐

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR §1.28[b]).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this Verified Statement is directed.

NAME OF PERSON SIGNING Volker Grafe  
 TITLE OF PERSON OTHER THAN OWNER PRESIDENT  
 ADDRESS OF PERSON SIGNING UNAXIS DEUTSCHLAND HOLDING, HANAU  
 SIGNATURE [Signature] DATE 25.01.2001